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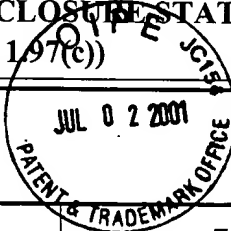
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
133.002

#4

In Re Application Of: KARMALI, Rashida A.



Serial No.
09/829,851

Filing Date
4/10/01

Examiner
TBA

Group Art Unit
TBA

Title: **COMPUTERIZED EVALUATION OF RISK IN FINANCING TECHNOLOGIES**

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

ENCLOSURE STA
r 1.99(c))

JUL 02 2001

PATENT & TRADEMARK OFFICE

In Re Application Of: **KARMALI, Rashida A.**

Group Art Unit
TBA

Payment of Fee

☐ A check in the amount of _____ is attached.

☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. A duplicate copy of this sheet is enclosed.


☐ Charge the amount of _____

☐ Credit any overpayment.

☐ Charge any additional fee required.

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) on _____ (Date)
<i>Signature</i>
<i>Typed or Printed Name of Person Signing Certificate</i>

Rashid A. Kamali
Signature

I certify that this document and fee is being deposited on 06/28/01 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

<i>Signature of Person Mailing Correspondence</i>
Taricha Edis
<i>Typed or Printed Name of Person Mailing Correspondence</i>

Dated: 6/28/01

P10A/REV01